

Impacts of Salinity Stratification on sub-seasonal SST warming in the Northern Indian Ocean

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Key Points:

- Spatial variability of $O(1^\circ\text{C})$ is observed during the spring intermonsoon warming in the Northern Indian Ocean.
- Salinity stratification can enhance or suppress surface warming by up to 0.5°C , depending on heat fluxes and water optics.
- The daily-averaged heat flux value where salinity stratification begins to amplify surface warming is quantified (Q_{cross}).

15 **Abstract**

16 The Northern Indian Ocean (NIO) experiences strong upper ocean warming during the
 17 spring intermonsoon season, with nearly 90% of the days showing net heat gain. How-
 18 ever, observations reveal spatially heterogeneous Sea Surface Temperature (SST) trends
 19 [O(1 °C) differences] in these regions over intra-seasonal timescales (15-45 days) and mesoscale
 20 and smaller length scales (< 100 km), coinciding with significant lateral variability in
 21 winds [O(2 m s⁻¹)] and salinity stratification [O(2 g kg⁻¹) in surface salinity and O(20
 22 m in mixed layer depth)]. This study investigates the role of salinity-driven mixed lay-
 23 ers in driving these gradients in foundational SST warming using one-dimensional mod-
 24 eling. Simulation results using realistic surface forcing show that lateral differences in
 25 stratification result in spatial differences in warming of foundational SST by about 0.2-
 26 0.5°C over 14-21 days, specifically for shallow mixed layers. However, the influence of
 27 stratification on foundational SST warming is nuanced and varies across the NIO, lead-
 28 ing to either enhanced or reduced warming. Idealized simulations show that this con-
 29 trast depends on net heat flux and water optical properties, with stratified cases warm-
 30 ing more under high fluxes and turbid conditions. To generalize, we derive an analyt-
 31 ical expression for the crossover heat flux (Q_{cross}), the threshold daily-averaged net heat
 32 flux value at which stratified and unstratified cases warm equally. Q_{cross} depends on short-
 33 wave radiation, mixed layer depth, and optical properties. For representative clear-sky
 34 conditions, Q_{cross} ranges from 103 to 136 W m⁻². These findings underscore the role of
 35 salinity-driven stratification and bio-optical feedback in shaping SST gradients, with likely
 36 implications for sub-seasonal to seasonal monsoon forecasting.

37 **Plain Language Summary**

38 The Northern Indian Ocean undergoes intense surface warming during the spring
 39 intermonsoon season, prior to Monsoons. Observations reveal that the warming trend
 40 in the surface temperatures is spatially uneven over intra-seasonal timescales (15-45 days)
 41 and shorter length scales (< 100 km). This variability coincides with significant lateral
 42 differences in wind speeds and salinity stratification. Our study shows that in shallow
 43 mixed layers, riverine-driven salinity stratification strongly influences surface warming
 44 differences. Interestingly, the impact of salinity stratification on surface warming are found
 45 to be varying in the NIO, leading to either enhanced or reduced warming. Idealized sim-
 46 ulation results provide evidence that this difference is due to the sensitivity of surface

47 warming nature to net heat flux and water optics. Under higher heat flux and more murkier
 48 conditions, stratified cases warm more. We also derive a theoretical daily-averaged net
 49 heat flux value at which stratified and unstratified scenarios result in the same SST warm-
 50 ing rate. This threshold ranges from 103 to 136 W m^{-2} under typical clear-sky condi-
 51 tions and tropical open-ocean waters, depending on water clarity and mixed layer depth.
 52 These results highlight the importance of salinity-driven stratification and bio-optical
 53 feedback in modulating regional SST evolution, factors that can influence tropical cy-
 54 clone intensity and monsoon predictability.

55 1 Introduction

56 A precursor to the onset of Monsoons and their associated high wind speeds and
 57 rainfall is the presence of warm waters in the Northern Indian Ocean (NIO) during the
 58 spring intermonsoon season (Vinayachandran & Shetye, 1991; Rao & Sivakumar, 1999).
 59 Conditions in the NIO during this period are characterized by clear skies with net sur-
 60 face heat fluxes of $O(100 \text{ W m}^{-2})$ and wind speeds below 6 m s^{-1} (Weller et al., 1998,
 61 2019). Such conditions increase the foundational sea surface temperature (SST), denoted
 62 as T_f hereafter and defined as the component of SST that varies on timescales longer
 63 than the diurnal cycle (see Section 2.4 of this paper for more details on T_f), by approx-
 64 imately $3\text{--}4^\circ\text{C}$ (Weller et al., 1998, 2019). The conditions during the spring intermon-
 65 soon season also lead to high diurnal SST amplitudes and possible Diurnal Warm Layer
 66 (DWL) formation (Stuart-Menteth et al., 2003; Clayson & Weitlich, 2007; Shenoi et al.,
 67 2009; Thompson et al., 2019). The heat budget during this period is largely one-dimensional,
 68 driven by surface heat fluxes and characterized by negligible lateral heat advection and
 69 low eddy kinetic energy (Weller et al., 2002; Thangaprakash et al., 2016; Sun et al., 2022).
 70 Studies have shown that these persistent warm waters (the NIO Mini-Warm Pool) as well
 71 as the DWL presence serve as a source for atmospheric convection over diurnal and intra-
 72 seasonal timescales (Rao et al., 2015; de Szoeke et al., 2021). These air-sea interactions
 73 influence pre-Monsoon tropical cyclones as well as the onset and evolution of the Mon-
 74 soon (Rao & Sivakumar, 1999; Balaguru et al., 2012; Sijikumar & Rajeev, 2012; Prad-
 75 han et al., 2022).

76 Prior to the one-dimensional heating of the NIO during the spring intermonsoon
 77 period, it is also uniquely influenced by the seasonal discharge of river water from the
 78 Bay of Bengal (East Basin in the NIO), with peak discharge in August and September

(Sengupta et al., 2006; Jarugula et al., 2024). Mesoscale eddies, Ekman currents and the East India Coastal Current redistribute this riverwater throughout the Bay of Bengal, extending its influence to the Southern Bay (Rao & Sivakumar, 2003; Sengupta et al., 2006, 2016; Sree Lekha et al., 2018; Chaudhuri et al., 2021; Jarugula et al., 2024). This freshwater modifies the vertical stratification by forming salinity-stratified mixed layers (or barrier layers) and creating salinity fronts over $O(10 \text{ km})$ (Sengupta & Ravichandran, 2001; Shenoi et al., 2002; Rao & Sivakumar, 2003; Sengupta et al., 2006; Mahadevan et al., 2016; Sengupta et al., 2016; Wijesekera et al., 2016; Sree Lekha et al., 2018; Hormann et al., 2019; Sree Lekha et al., 2020). The East India coastal current, along with coastally trapped Kelvin waves and downwelling Rossby waves, facilitate the freshwater exchange between the Bay of Bengal and the Arabian Sea (west basin in the NIO, Rao & Sivakumar, 2003; Vinayachandran & Nanjundiah, 2009; Rao et al., 2010; Hormann et al., 2019). This exchange alters the vertical stratification in the Arabian Sea prior to the spring intermonsoon season as well (Sprintall & Tomczak, 1992; Echols & Riser, 2020).

The presence of such shallow salinity-stratified mixed layers enhances diurnal SST amplitudes in the NIO region (Moshonkin & Harenduprakash, 1991; Shroyer et al., 2016; Kerhalkar et al., 2025b). These layers significantly modulate the upper ocean heat budget by inhibiting vertical mixing of cooler subsurface waters, allowing heat to accumulate near the surface and promoting T_f warming (Moshonkin & Harenduprakash, 1991; Yuan et al., 2020). At the same time, these layers can also lead to an increased escape of penetrative heat fluxes to deeper waters (due to penetrative shortwave radiation) by a factor of 3 (Sengupta et al., 2008). This effectively reduces the heat retained within the mixed layer, thereby limiting the T_f warming or even cause T_f cooling (Vinayachandran et al., 2007; Sengupta et al., 2008; Echols & Riser, 2020). Due to these competing effects, there remains a lack of consensus on the role of salinity-stratified mixed layers in T_f evolution during the spring intermonsoon, with limited observational studies suggesting their influence on T_f evolution may be minimal (Echols & Riser, 2020).

Building on the ambiguity regarding the role of salinity stratification in modulating T_f evolution one-dimensionally, lateral variability in salinity stratification also has the potential to further generate SST fronts (e.g., Moshonkin & Harenduprakash, 1991). An existing salinity front generated prior to spring-intermonsoon period could either experience density enhancement or develop density compensation across the front, depending on how salinity stratification impacts the evolution of T_f . Quantifying such spatial

112 inhomogeneities in T_f evolution over mesoscale and smaller lengths is essential as it can
 113 influence local and large-scale air-sea interactions (e.g., Skillingstad et al., 2007; Seo et
 114 al., 2023). These aspects remains largely unexplored due to lack of concurrent, high-vertical
 115 resolution in-situ observations at mesoscale and smaller length scales (< 100 km) in this
 116 data-sparse region (Beal et al., 2020; Phillips et al., 2021).

117 To address this gap, we use a combination of remote sensing, 1-D ocean modeling
 118 and surface forcing derived from two in-situ moorings in the NIO (one each in the North-
 119 ern Bay and South-Eastern Arabian Sea) to answer the following questions:

- 120 • What are the typical differences in the warming trends of T_f across mesoscale and
 121 smaller lengths during the existence of the mini-warm pool?
- 122 • How does salinity stratification differences contribute in causing lateral differences
 123 in T_f evolution?
- 124 • Does the presence of salinity stratification suppress or accelerate the T_f warming?
 125 How does this depend on surface forcing conditions like optical properties of wa-
 126 ter, wind speeds, and heat fluxes?

127 We first describe the remote sensing, observational datasets and 1-D model used in this
 128 study (Section-2). Next, we describe the extent of lateral variability in SST trends and
 129 surface salinity from remote sensing (as a proxy for differences in salinity stratification)
 130 in the NIO (Section-3). The role of salinity stratification in causing the differences in T_f
 131 warming in the Northern Bay of Bengal (NBoB) and South-Eastern Arabian Sea (SEAS)
 132 is considered next, using 1-D model forced with realistic surface forcing from in-situ moor-
 133 ings (Section-4). We then use 1-D model with idealized forcing conditions to isolate the
 134 differences in action of salinity stratification on T_f warming trends (Section-5). Finally,
 135 we develop an analytical expression to explain the role of salinity stratification in the evo-
 136 lution of T_f (Section-6). The broader implications of this research are discussed in Sec-
 137 tion 7, with a summary of findings in Section 8.

138 **2 Data and Methods**

139 **2.1 In-Situ Sources**

140 In this study, we use surface meteorological variables and COARE-derived bulk fluxes
 141 (Fairall et al., 1996a, 2003; Edson et al., 2013), together with SST and subsurface tem-

142 perature and salinity measurements from the AD08 and ASIRI moorings. The AD08 moor-
143 ing in the SEAS (located at 11.7°N and 68°E, Blue star in Figure 1a) is one of the long-
144 term operational sites maintained by the India’s National Institute of Ocean Technol-
145 ogy (NIOT, Joseph et al., 2022). We derive bulk fluxes using COARE 3.6 with hourly
146 observations at the AD08 mooring. Temperature and salinity at AD08 mooring were mea-
147 sured at depths of 1, 5, 10, 15, 20, 30, 50, 75, 100, 200, and 500 m. Our analysis uses the
148 data from February to May 2018, corresponding to the spring intermonsoon season (Weller
149 et al., 1998).

150 The ASIRI mooring was deployed by the Woods Hole Oceanographic Institution
151 (WHOI) for the ASIRI (Air–Sea Interactions in the Northern Indian Ocean, Wijesekera
152 et al., 2016) field campaign in the NBoB (located at 18.0°N, 89.5°E, Green star in Fig-
153 ure 1a) between December 2014 and January 2016. COARE 3.0 algorithm is used to de-
154 rive bulk fluxes from the 1-minute time resolution observations at this site (The use of
155 different bulk flux algorithms at the AD08 and ASIRI moorings reflects only the tim-
156 ing of the respective analyses, not a scientific rationale, and they should yield similar re-
157 sults; pers. comm., Elizabeth Thompson). The ASIRI mooring is also instrumented with
158 15 Sea-Bird SBE 37 CT sensors distributed through the upper 100 m, recording inter-
159 nally every 15 minutes. We use data from February to May 2015, also representing the
160 spring intermonsoon season (Weller et al., 2016). Further details on the instrumentation
161 and measurements at the AD08 and ASIRI moorings can be found in Joseph et al. (2022),
162 Weller et al. (2016) and Weller et al. (2019).

163 To assess the typical mixed layer depths (MLDs) around the AD08 and ASIRI moor-
164 ings in the SEAS and NBoB, respectively, we use ARGO float profiles (Roemmich et al.,
165 2009). Profiles are selected within a $3^\circ \times 2^\circ$ box centered around AD08 and the ASIRI
166 moorings. The analysis is restricted to the spring intermonsoon season for years 2003
167 to 2024.

168 2.2 Remote Sensing

169 To examine the spatial variations in T_f and its changes, we utilize NOAA 0.09° Daily
170 Optimum Interpolation Sea Surface Temperature (OISST, Reynolds et al., 2007). This
171 is a level-3 blended product combining microwave and infrared data, at a spatial reso-
172 lution of nearly 9 km. For understanding the spatial differences in the sea surface salin-

173 ity (SSS), we use Soil Moisture Active Passive mission (SMAP) data. Although gridded
 174 at a 25 km scale, its effective spatial resolution is 47 km (Brown et al., 2013). Daily wind
 175 data is obtained from the Advanced Microwave Scanning Radiometer 2 (AMSR-2) aboard
 176 the AQUA satellite. Daily wind measurements from AMSR-2 are available at an effective
 177 resolution of 46 km, despite being gridded to a 25 km scale as well (Wentz et al.,
 178 2014). Our analysis spans the period from 01 March to 30 April 2018 as to overlap with
 179 availability of observations at the AD08 mooring.

180 **2.3 Models**

181 The General Ocean Turbulence Model (GOTM) is a one-dimensional ocean model
 182 framework that includes various vertical mixing schemes (Burchard et al., 1999). GOTM
 183 also provides options for selecting stability functions, as well as parameterizations for wave
 184 breaking and internal waves. The k - ϵ turbulence closure scheme within GOTM param-
 185 eterizes subgrid-scale turbulent kinetic energy (k) and its dissipation rate (ϵ), with both
 186 variables evolved using separate prognostic transport equations. This scheme has been
 187 employed in previous studies to model the upper ocean (e.g., Johnson et al., 2023a; Bur-
 188 chard et al., 2002; Drushka et al., 2016). In this study, we use the k - ϵ mixing scheme
 189 along with the dynamic dissipation rate length scale and the Canuto A version of the
 190 stability function (Canuto et al., 2001). We adopted GOTM’s default settings, omitting
 191 only the internal wave breaking parameterization (Burchard et al., 1999).

192 We conduct two suites of simulations: one forced with realistic surface fluxes from
 193 mooring observations (run over the periods described in Section 4), and another using
 194 idealized surface forcing (run for 30 days, as outlined in Section 5). All simulations are
 195 initialized near sunrise (00:00 UTC) and use vertical and temporal resolutions of 0.05
 196 m and 1 minute, respectively. Additional details on the initial and forcing conditions for
 197 these simulations are provided in Sections 4 and 5.

198 **2.4 Quantifying T_f**

199 We define the foundational temperature (T_f) as the near-surface temperature (0.5
 200 m depth) immediately before sunrise each day, following the convention that this pre-
 201 dawn state is least affected by diurnal warming or nocturnal cooling (Donlon et al., 2007;
 202 Prytherch et al., 2013). We obtain T_f once per local day (00:00 UTC to 00:00 UTC) to

203 isolate variability on time scales longer than the diurnal cycle (similar to the approach
 204 in Prytherch et al., 2013; Kerhalkar et al., 2025b). While our primary processes of in-
 205 terest are the daily cycles of heating and cooling, our focus is on their cumulative im-
 206 pacts. Therefore, changes in T_f from one sunrise to the next are used to quantify longer-
 207 term modulation of the upper-ocean thermal state.

208 **3 Observed spatial variability in T_f , SSS, Winds and MLDs during Spring**
 209 **intermonsoon season**

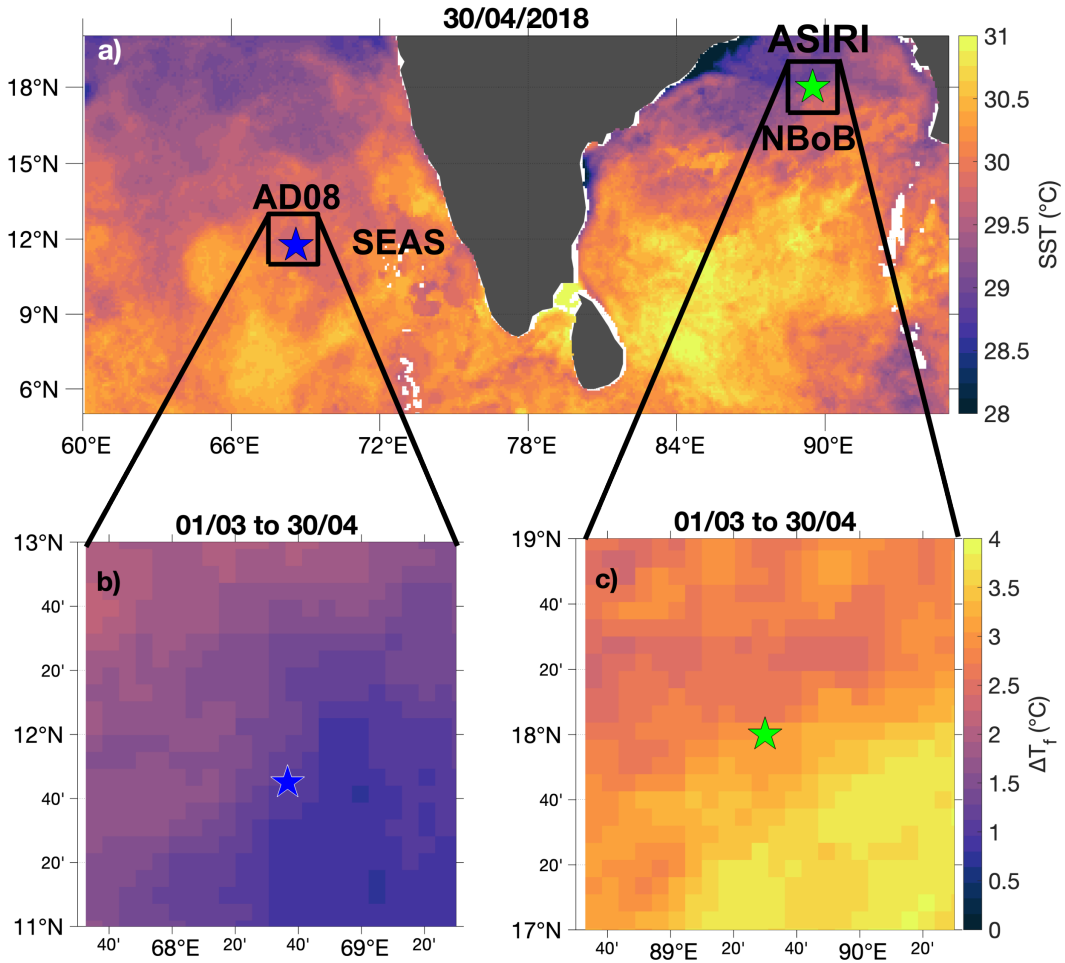


Figure 1. (a) SST of the Northern Indian Ocean on 30 April 2018 from OISST. The blue and green stars indicate the AD08 mooring in SEAS and ASIRI Mooring in NBoB respectively. (b) and (c) Change in T_f from 01 March to 30 April 2018 within the boxes in vicinity of the moorings as indicated in (a).

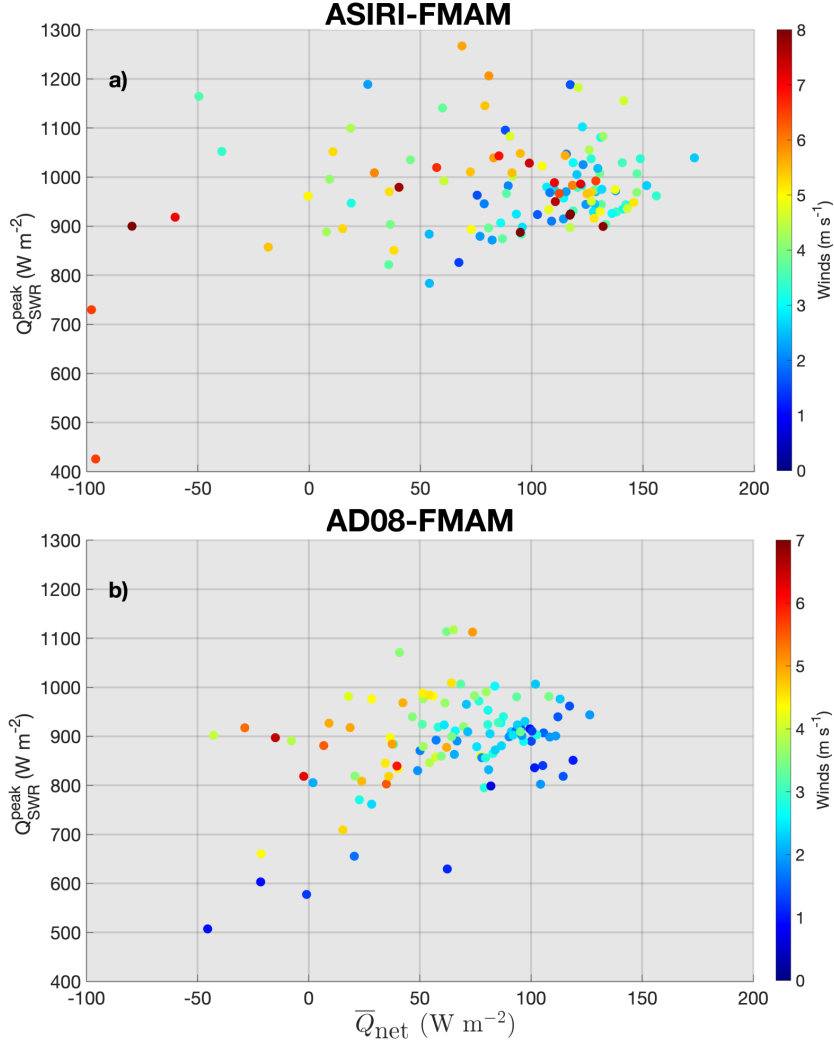


Figure 2. (a) Scatter plot of daily peak shortwave radiation (Q_{SWR}^{peak} , y-axis) vs. daily mean net heat flux (\overline{Q}_{net} , x-axis) from the ASIRI mooring in the NBoB during February-May 2015, with colors indicating the daily mean wind speed. (b) is the same as (a) but for AD08 mooring in the SEAS during February-May 2018.

210 Satellite SST measurements from the 2018 spring intermonsoon season show the
 211 NIO warming to above $29^{\circ}C$ by the end of April (Figure 1a), creating conditions favor-
 212 able for atmospheric convection (e.g., Gadgil, 2003). The corresponding increase in the
 213 foundational temperature (ΔT_f) over the months of March and April ranges from 1 to
 214 $4^{\circ}C$ (equivalent to $0.5-2^{\circ}C$ per month), with higher ΔT_f values in NBoB compared to
 215 the SEAS (Figure 1b,c). This regional difference within the NIO is likely driven by dif-
 216 ferences in net surface heat fluxes, which are higher by $O(50 W m^{-2})$ in the NBoB than

217 in the SEAS (Figure 2). This occurs despite largely clear skies and similar shortwave ra-
 218 diation (SWR) in both regions. Over 90% of the days during this period exhibit posi-
 219 tive net heat fluxes, supporting the overall warming trend.

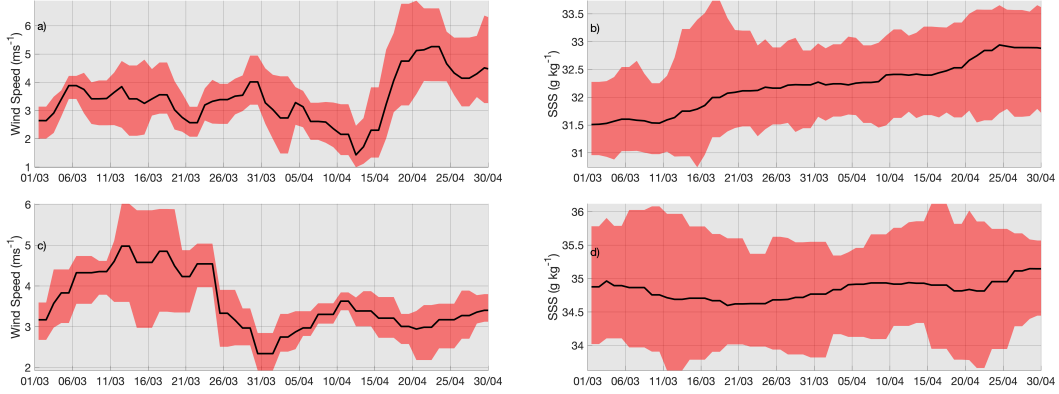


Figure 3. (a) Time series of the mean wind speed (black line) over the box in the NBoB (Figure 1c), with the range of values shown in red shade between 01 March and 30 April 2018. (b) Same as (a) but for SSS over the box in Figure 1c. (c) and (d) are the same as (a) and (b) over the box in SEAS (Figure 1b).

220 Beyond basin-wide differences, satellite SST also reveals $O(1^\circ\text{C})$ spatial variabil-
 221 ity in ΔT_f over mesoscale and smaller lengths (< 100 km) within both NBoB and SEAS
 222 (Figure 1b,c). While such variability suggests partial influence of spatial variability in
 223 the net heat fluxes over mesoscale and smaller lengths, reliable estimates of this variabil-
 224 ity are not available from coarser remote sensing and reanalysis products. However, wind
 225 speeds in both regions during this period show spatial variability of $O(2 \text{ m s}^{-1})$ over mesoscale
 226 and smaller lengths (Figure 3a,c), with nearly similar spatially averaged wind speeds in
 227 both the regions (except between 15-30 April, where the NBoB has stronger winds by
 228 about 2 m s^{-1}). These spatial differences in winds suggests a potential contribution to
 229 unresolved small-scale variability in surface heat fluxes.

230 Past studies indicate that salinity stratification significantly modifies SST and the
 231 upper ocean heat budget as well (Sengupta et al., 2008; Yuan et al., 2020). Satellite SSS
 232 measurements reveal enhanced spatial variability of $O(2 \text{ g/kg})$ over mesoscale and smaller
 233 lengths in both NBoB and SEAS regions, with NBoB being consistently fresher than SEAS
 234 (Figure 3b,d). Because salinity gradients at submesoscale and smaller mesoscale lengths
 235 are much weaker beneath the mixed layer (e.g., Jaeger & Mahadevan, 2018), surface salin-

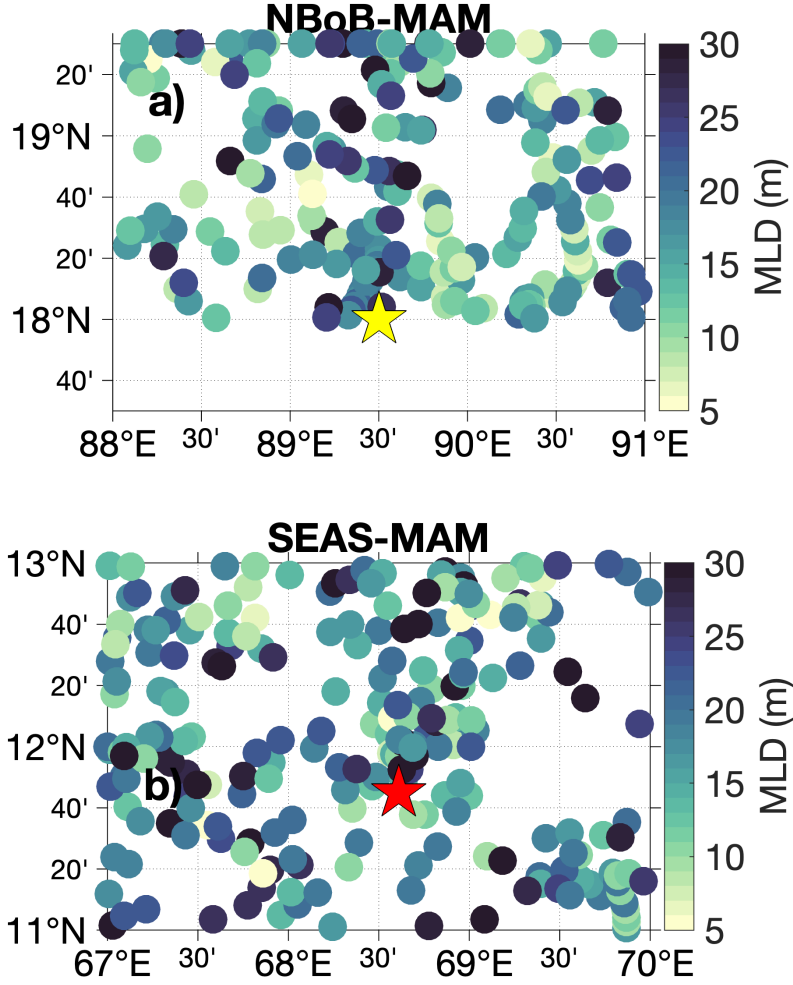


Figure 4. (a) MLDs inferred from ARGO floats in a $3^\circ \times 2^\circ$ centered around the ASIRI mooring (in yellow star) in the NBoB for months of March, April and May between 2003 and 2024. (b) is the same as (a) but for a similar region around the AD08 mooring (in red star) in the SEAS.

236 ity gradients serve as a useful proxy for lateral differences in salinity-driven stratifica-
 237 tion. Additionally, long-term ARGO float analysis highlights that MLDs are found to
 238 be varying between 5-30 m in NBoB and SEAS during the spring intermonsoon season
 239 (Figure 4).

240 While spatial gradients in ΔT_f are observed to be as high as 2°C , quantifying the
 241 causes of these observed spatial ΔT_f differences solely through observations is challeng-
 242 ing. Therefore, we employ an idealized one-dimensional (1-D) modeling approach to specif-

243 ically explore how lateral differences in salinity stratification drive lateral variations in
 244 ΔT_f .

245 **4 1-D modeling experiments using observed surface forcing in NIO**

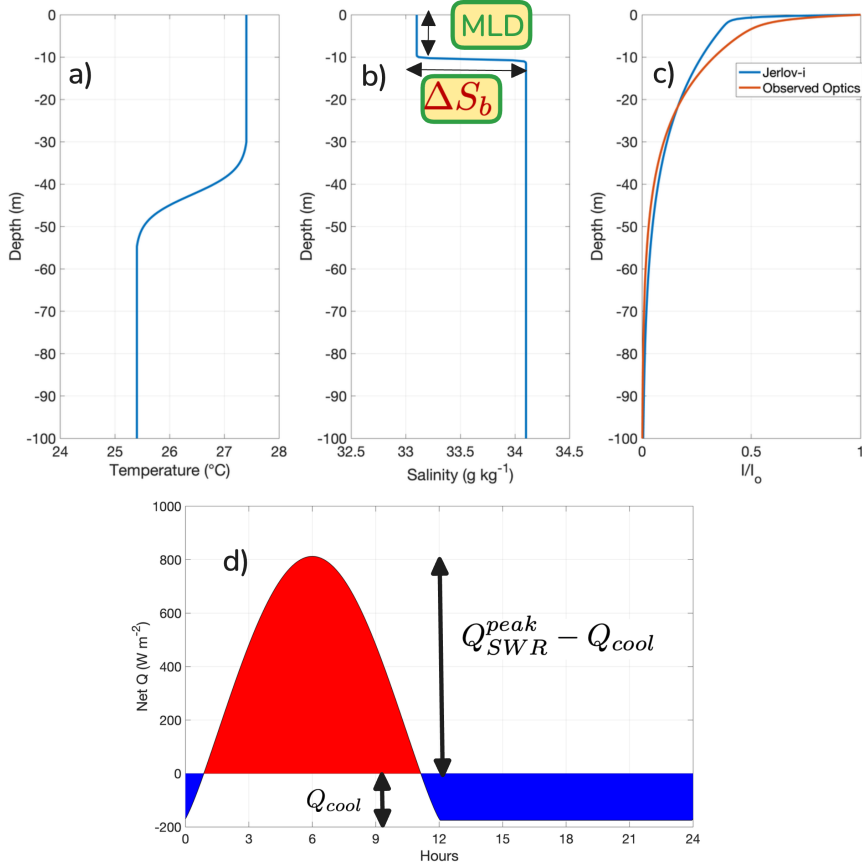


Figure 5. Initial vertical profiles of (a) temperature, (b) salinity and (c) ratio of downward irradiation (I) to incident irradiance at the surface (I_o), for the GOTM parameter space exploration. The temperature and salinity in the upper layer is set using the initial SST and SSS respectively. Stratification in the initial profiles is controlled using the MLD and the initial change in salinity at the base of mixed layer (ΔS_b). (d) A typical diurnally varying idealized forcing flux is shown as a function of time in UTC for Indian Ocean, with a constant cooling component of flux (i.e., sum of net longwave radiation, latent heat flux, and sensible heat flux, which typically cool the Indian Ocean, Q_{cool}) and diurnally varying SWR that peaks during the day (Q_{SWR}^{peak})

246 GOTM simulations are forced with high-resolution surface fluxes and wind speeds
 247 from the ASIRI and AD08 moorings to understand the role of salinity stratification in

248 causing lateral variations in ΔT_f . Simulations are initialized with idealized temperature
 249 and salinity profiles inspired by past observations from these regions. The upper layer's
 250 temperature and salinity are set using the initial SST and SSS observed at the respec-
 251 tive moorings (Figure 5a,b). Upper ocean stratification is controlled by the initial MLD
 252 at sunrise and the initial salinity change at the base of the MLD (ΔS_b). Additionally,
 253 a 2°C change in temperature over 10 m is assumed at a depth of 40 m to emulate a typ-
 254 ical thermocline. We model the penetration of solar radiation using a double exponen-
 255 tial decay with attenuation coefficients appropriate for Jerlov-I water type (Figure 5c,
 256 Paulson & Simpson, 1977).

257 During a 21-day period between 08 March and 01 April 2015 in the NBoB, con-
 258 sistent wind speeds below 6 m s^{-1} (Figure 6a) favor DWL formation (Thompson et al.,
 259 2019; Kerhalkar et al., 2025b). Consistent with this, observations show a clear diurnal
 260 cycle in SST accompanied by the formation of DWLs in the upper ocean (Figure 6b,e).
 261 The observed ΔT_f during this 21-day period is 2.2°C (Figure 6b). A simulation assum-
 262 ing no salinity stratification in the initial profile yields a ΔT_f of 2.1°C, with consistent
 263 upper ocean warming (down to 25 m depth) and presence of DWLs (Figure 6b,c). The
 264 modeled upper-ocean temperature evolution closely resembles the observed evolution,
 265 aside from high-frequency variability in the observations likely due to internal wave ac-
 266 tivity (Figure 6e, e.g., Chaudhuri et al., 2019). In contrast, using salinity-stratified ini-
 267 tial conditions (initial MLD=6 m and $\Delta S_b=1 \text{ g kg}^{-1}$) increases the ΔT_f by 0.4°C (to
 268 2.5°C, Figure 6b,d). Presence of enhanced stratification traps the DWLs and the asso-
 269 ciated heat distribution to shallower depths (Figure 6d). Comparison of resulting model
 270 temperature profiles reveal that the non-salinity-stratified scenario warms more for the
 271 first part of the period (till 17 March) at the surface (Figure 6f). In contrast, the salinity-
 272 stratified case warms more below its initial MLD when compared to the non-salinity-stratified
 273 case during this period (both cases warm in an absolute sense but differ in relative heat-
 274 ing). This is a combined effect of surface cooling concentrated within a shallow MLD (lead-
 275 ing to more cooling) and penetrative heat fluxes warming the sub-surface without the
 276 typical cooling at the end of the day (which happens in the non-salinity-stratified case;
 277 e.g., Sengupta et al., 2002). Conversely, the surface in salinity-stratified scenario warms
 278 more for the second part of the period (from 20 March) by trapping heat to a shallower
 279 depth (Figure 6f). As a result, the sub-surface is warmer for non-salinity-stratified case
 280 when compared to the salinity-stratified case during this period. Similar results from other

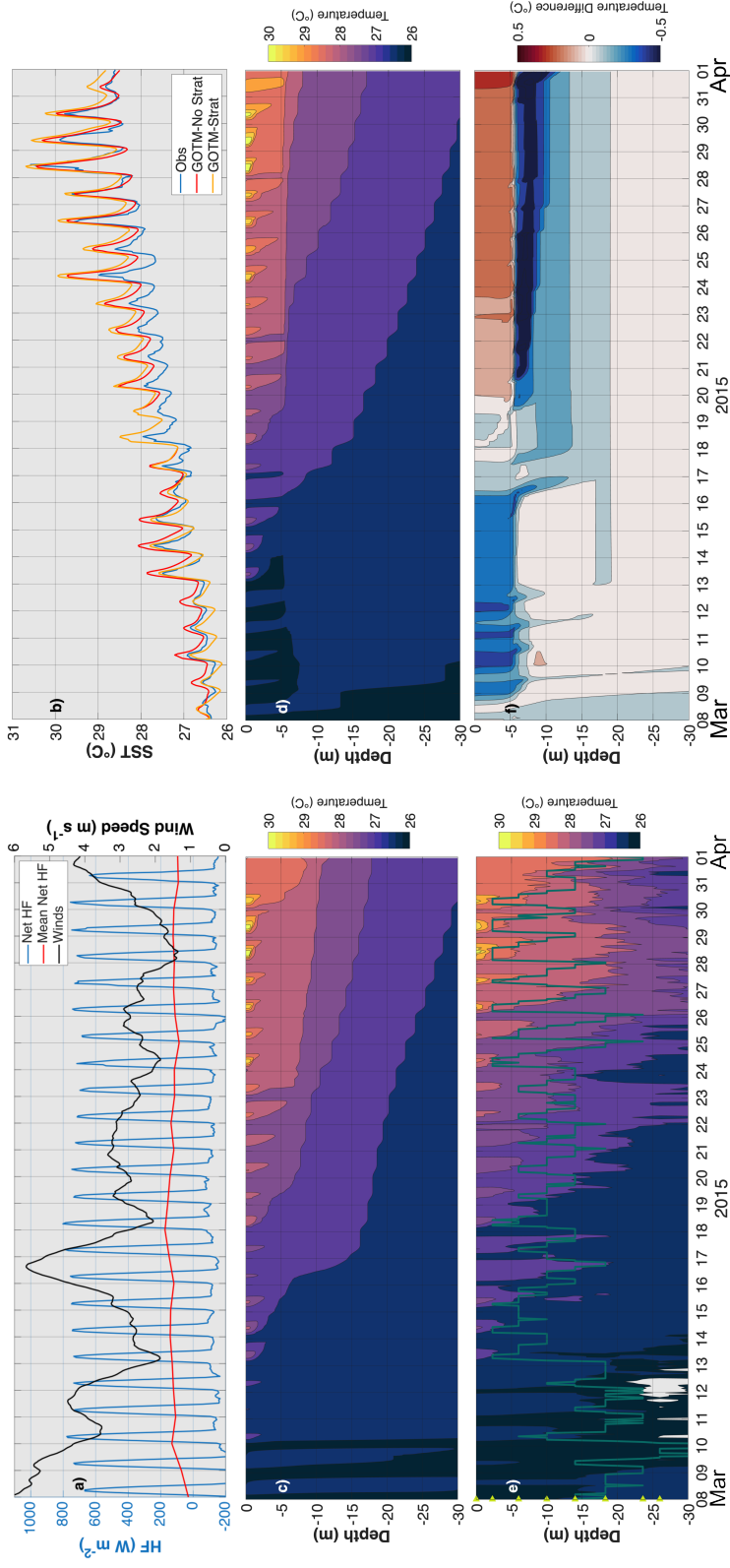


Figure 6. (a) Observed Net Heat Flux (Net HF, in blue) and its Daily Mean Net Heat Flux (Mean Net HF, in red) from ASIRI mooring in NBoB between 08 March and 01 April 2015. 24-hour smoothed wind speed at the ASIRI mooring is shown in black line with its axes limits on the right. (b) Observed SST from ASIRI mooring in NBoB (in blue), modeled SST assuming no salinity stratification (in red) as well as modeled SST assuming an initial $MLD=6$ m and $\Delta S_b=1$ $g\ kg^{-1}$ (in yellow) for the same time period. (c) Modeled temperature profiles assuming no salinity stratification ($\Delta S_b=0$) for the same time period. (d) is the same as (c) but assuming an initial $MLD=6$ m and $\Delta S_b=1$ $g\ kg^{-1}$. (e) Observed Temperature profiles from ASIRI mooring for the same time period, with dark green lines indicating the MLD using a $0.125\ kg\ m^{-3}$ density criteria (The MLD estimate is noisy due to the discrete depth spacing of the sensors). The light green markers at the left end of the panel indicate the depths where the measurements were taken. (f) Difference in temperature between (d) and (c). Red color indicates that the salinity-stratified case in (d) is warmer than non-salinity-stratified case in (c).

281 periods in April and May 2015 in NBoB reveal that the surface in case of salinity-stratified
 282 scenarios demonstrates periods of both enhanced and reduced warming when compared
 283 to non-salinity-stratified scenarios (Figure S1,S2, see upcoming section for more detailed
 284 discussions).

285 Observations from the AD08 mooring in the SEAS during a 15-day period (from
 286 26 April to 10 May 2018) also show consistently low wind speeds, similar to the NBoB
 287 case discussed earlier (Figure 7a). Here, the observed ΔT_f is 0.64°C (Figure 7b). The
 288 non-salinity stratified scenario (similar to above) reveals a 0.65°C ΔT_f , but the salinity-
 289 stratified scenario results in a lower ΔT_f of 0.45°C (Figure 7b). Although DWLs are also
 290 present in these cases (Figure 7c,d) and resembles the observed temperature profiles at
 291 AD08 mooring (Figure 7e), the surface of non-salinity stratified scenario is consistently
 292 warmer in the case of SEAS (Figure 7f). Thus SEAS and NBoB show contrasting re-
 293 sults.

294 To comprehensively understand the role of salinity stratification on ΔT_f , we con-
 295 duct multiple GOTM simulations with varying initial MLD (2-15 m) and ΔS_b ($0-1\text{ g kg}^{-1}$),
 296 using the same surface forcing as discussed previously. These experiments reaffirm our
 297 earlier findings: in the SEAS scenario, enhanced stratification leads to a reduction in ΔT_f
 298 (Figure 8a). This effect is more pronounced for higher ΔS_b ($> 0.8\text{ g kg}^{-1}$) and shallower
 299 initial MLDs ($< 8\text{ m}$), with differences of about 0.2°C in ΔT_f as a result. Conversely
 300 in the NBoB scenario, enhanced stratification results in a higher ΔT_f (Figure 8b). Sim-
 301 ilar to the SEAS scenario, this effect in the NBoB is more pronounced over shallow ini-
 302 tial MLDs ($< 6\text{ m}$) and enhanced ΔS_b ($> 0.7\text{ g kg}^{-1}$), resulting in differences of about
 303 0.5°C in ΔT_f . Crucially, our detailed exploration of the NBoB scenario across its two
 304 distinct periods (discussed above) reveals contrasting results, with stratified scenarios
 305 leading to lower and higher ΔT_f values for the respective periods (Figure S3).

306 Overall, our 1-D simulations using observed surface forcing from the NBoB and SEAS
 307 demonstrate that salinity stratification can contribute up to 0.5°C of spatial variabil-
 308 ity in ΔT_f . This exercise suggests that the observed spatial gradients in ΔT_f are partly
 309 driven by salinity stratification, with additional influence from variability in optical prop-
 310 erties and surface heat fluxes. These results imply that salinity stratification has con-
 311 trasting impacts on ΔT_f : in presence of surface warming, a salinity front could experi-

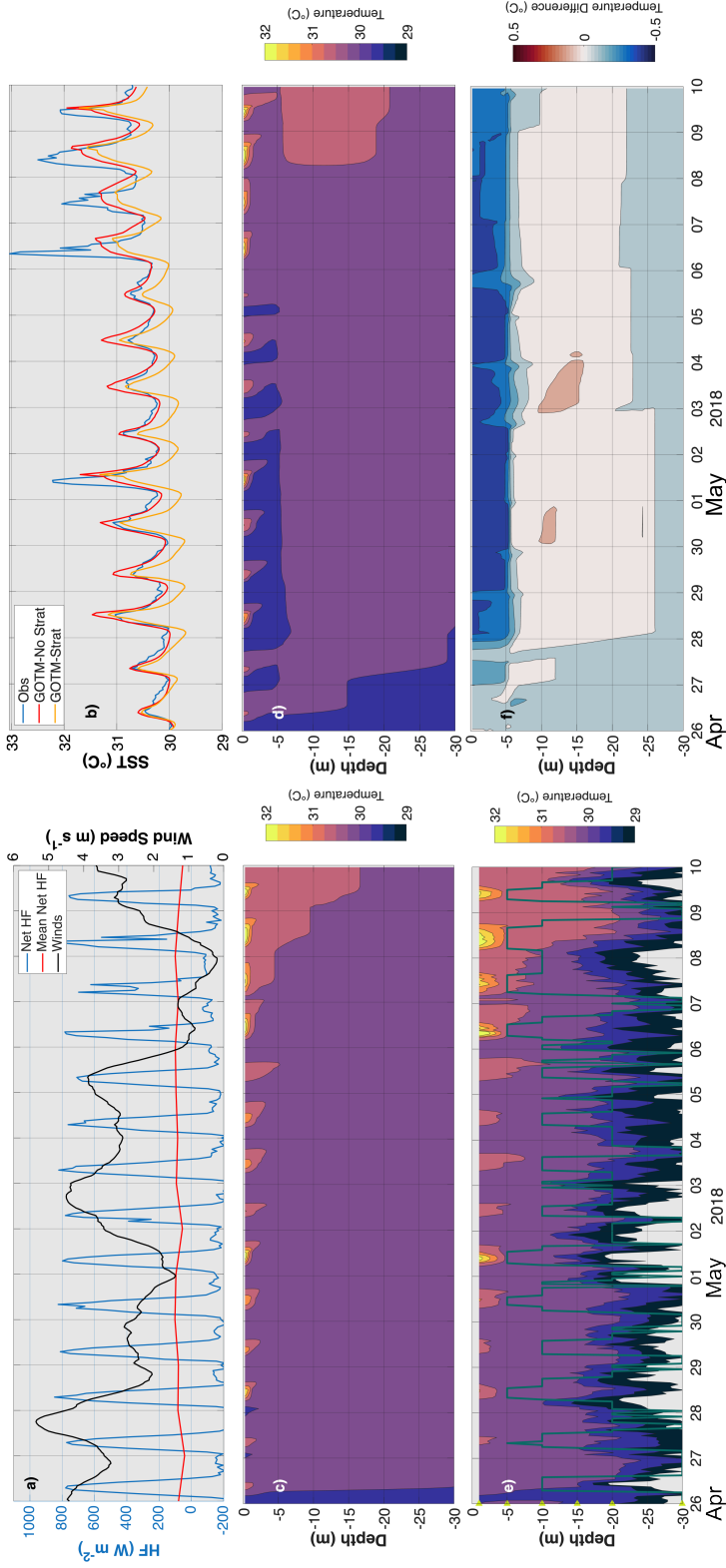


Figure 7. (a) Observed Net Heat Flux (Net HF, in blue) and its Daily Mean Net Heat Flux (Mean Net HF, in red) from AD08 mooring in SEAS between 26 April and 10 May 2018. 24-hour smoothed Wind speed at the AD08 mooring is shown in black line with its axes limits on the right. (b) Observed SST from AD08 mooring in SEAS (in blue), modeled SST assuming no salinity stratification (in red) as well as modeled SST assuming an initial MLD of 6 m and ΔS_0 of 1 g kg^{-1} (in yellow) for the same time period. (c) Modeled temperature profiles assuming no salinity stratification for the same period. (d) is the same as (c) but assuming an initial MLD of 6 m and ΔS_0 of 1 g kg^{-1} . (e) Observed Temperature profiles from AD08 mooring for the same time period, with dark green lines indicating the MLD using a 0.125 kg m^{-3} density criteria (The MLD estimate is noisy due to the discrete depth spacing of the sensors). The light green markers at the left end of the panel indicate the depths where the measurements were taken. (f) Difference in temperature between (d) and (c). Red color indicates that the salinity-stratified case in (d) is warmer than non-salinity-stratified case in (c).

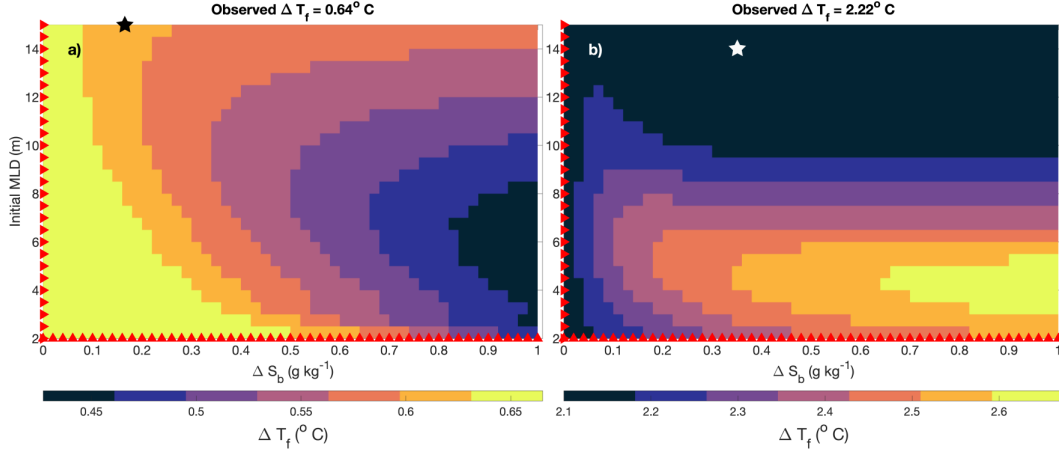


Figure 8. (a) Modeled ΔT_f between 26 April and 10 May 2018 as a function of ΔS_b (x-axis) and initial MLD (y-axis), forced with observed air-sea fluxes and wind speeds at AD08 mooring in SEAS. The observed value of ΔT_f during the same period is indicated in the sub-title, while the star marker indicates the observed value of ΔS_b and initial MLD (NOTE: Values of observed MLD and ΔS_b in the star marker are derived from discrete, coarse-resolution mooring sensors). (b) is the same as (a) but for ASIRI mooring in NBoB between 08 March and 01 April 2015. The red markers at axes indicate the different parameters for which the simulations are performed.

312 ence either enhanced or compensated density gradients. We resolve this conundrum in
 313 the next section.

314 **5 1-D modeling experiments using idealized surface forcing**

315 We adapt the heat budget framework from Rudnick and Weller (1993) to estimate
 316 ΔT_f , neglecting lateral advection and entrainment terms:

$$317 \quad \Delta T_f = \frac{\int (F(H) \cdot Q_{SWR} - Q_{cool}) dt}{\rho c_p H} \quad (1)$$

318 Here, $F(H)$ represents the fraction of SWR trapped within an effective MLD of H (de-
 319 pendent on the optical properties of water). Q_{SWR} and Q_{cool} represent the shortwave
 320 and cooling components of heat flux (i.e., sum of net longwave radiation, latent heat flux,
 321 and sensible heat flux, which typically cool the Indian Ocean) respectively. ρ , c_p indi-
 322 cate the density and specific heat capacity of water (assumed as $3991 \text{ J kg}^{-1} \text{ K}^{-1}$). Phys-
 323 ically, equation 1 represents the time-integrated vertical divergence of the surface heat
 324 flux over the effective mixed layer (H), normalized by ρc_p , such that changes in T_f arise
 325 from the cumulative imbalance between absorbed shortwave heating and surface cool-

326 ing over time (We define negative divergence, or convergence, in the mixed layer as net
 327 heat flux into the mixed layer). While the focus is on the evolution of T_f , we note that
 328 its variability is fundamentally driven by the diurnal cycle of heat fluxes (and hence the
 329 integration over time in equation 1).

330 A key element of the heat budget formulation in equation 1 is the definition of the
 331 effective MLD (H), which represents the effective vertical extent over which surface heat
 332 fluxes are mixed and contribute to ΔT_f . For unstratified ($\Delta S_b = 0$) cases, vertical mix-
 333 ing is governed primarily by wind-driven turbulence. Under these conditions, surface heat-
 334 ing and cooling are distributed over a wind-driven trapping depth that characterizes the
 335 vertical extent of turbulent mixing over a diurnal cycle. For a representative wind speed
 336 of 5 m s^{-1} , this trapping depth is estimated to be 13.4 m (based on the formulation of
 337 Fairall et al., 1996b). In the absence of salinity stratification, this wind-driven trapping
 338 depth therefore defines the effective MLD (H) in equation 1. In contrast, when salinity
 339 stratification is present ($\Delta S_b > 0$), buoyancy effects suppress vertical mixing and limit
 340 the penetration of wind-driven turbulence as long as the initially prescribed MLD due
 341 to salinity stratification is shallower than wind-driven trapping depth. In these cases,
 342 H is set by the MLD associated with salinity stratification (the initial MLD due to salin-
 343 ity stratification does not change much during the GOTM simulation period), and the
 344 influence of surface cooling and wind-driven mixing is confined to the salinity-stratified
 345 mixed layer rather than the deeper wind-driven mixing scale.

346 Building on equation 1, we conduct four distinct cases of parameter space explo-
 347 rations by adjusting the idealized surface forcing based on the parameters mentioned in
 348 Table 1. In each parameter space exploration case, GOTM simulations are forced for 30-
 349 day runs with diurnally varying solar radiation peaking at Q_{SWR}^{peak} (based on Renner et
 350 al., 2019) and constant cooling components of heat fluxes (Q_{cool} , Figure 5d). This re-
 351 sults in a daily mean net heat flux value of $\overline{Q_{net}}$ as mentioned in Table 1. Jerlov-I op-
 352 tical profile is used for all the cases, except case-4, which utilizes an optical profile ob-
 353 served in the NBoB during a 2019 field campaign (Figure 5c, see Kerhalkar et al., 2025b
 354 and Schlosser et al., 2025). We vary the initial MLD (2-15 m), ΔS_b (0-1 g kg^{-1}) and wind
 355 speeds (3-6 m s^{-1}) to explore the parameter space. We initially present the results for
 356 wind speeds of 5 m s^{-1} (trapping depth is estimated to be 13.4 m based on the formu-
 357 lation of Fairall et al., 1996b).

Table 1. Summary of Forcing Parameters and Optical Profiles for Idealized Cases.

Cases	$Q_{\text{SWR}}^{\text{peak}}$ (W m^{-2})	Q_{cool} (W m^{-2})	$\overline{Q_{\text{net}}}$ (W m^{-2})	Optics
Case-1	1000	175	123	Jerlov-I
Case-2	1000	148	150	Jerlov-I
Case-3	1095	175	150	Jerlov-I
Case-4	1000	148	150	Observed

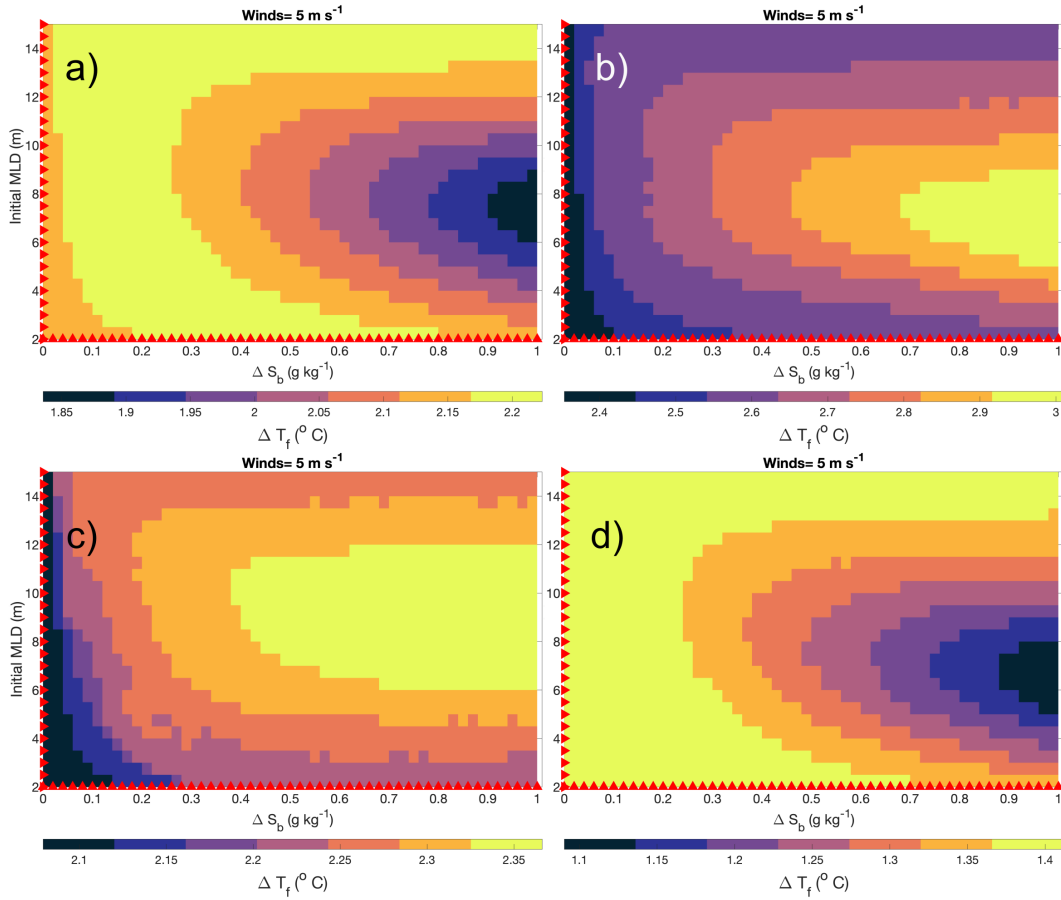


Figure 9. (a) ΔT_f over a 30-day period as a function of ΔS_b (x-axis) and initial MLD (y-axis) for a diurnally varying clear sky day with wind speed of 5 m s^{-1} for case-1. (b)-(d) is same as (a) for cases-2, 3, 4 respectively (Table 1). The red markers at axes indicate the different parameters for which the simulations are performed.

358 Case-1 shows that salinity-stratified scenarios yield a lower ΔT_f than non-stratified
 359 cases by approximately 0.35 °C (Figure 9a), primarily due to enhanced vertical heat flux
 360 divergence in the stratified scenarios. The impact of salinity stratification is largest for
 361 initial MLDs between 6–10 m, where the difference in vertical heat flux divergence (and
 362 hence ΔT_f , equation 1) between stratified and unstratified cases is maximized. This is
 363 as a result of difference in both H as well as $F(H) \cdot Q_{SWR}$ term in equation 1. For ini-
 364 tial MLDs shallower than 6 m, the stratified effective mixed layer depth is much shall-
 365 lower than the wind-driven trapping depth in unstratified cases. Hence, the shallow mixed
 366 layer confines both the absorbed heat and the depth over which vertical heat flux diver-
 367 gence occurs, reducing the resulting ΔT_f contrast between stratified and unstratified sce-
 368 narios. For initial MLDs deeper than 10 m, the contrast in vertical heat flux divergence
 369 between stratified and unstratified cases is smaller, as the effective MLD in stratified cases
 370 (set by the initial salinity profile) approaches the wind-driven trapping depth of the un-
 371 stratified cases. This is consistent with the SEAS case (Figure 8a), where enhanced strat-
 372 ification suppresses surface warming.

373 In contrast, results from case-2 (where the $\overline{Q_{net}}$ is increased from case-1 by reduc-
 374 ing Q_{cool}) reveal that ΔT_f increases as the ΔS_b increases (Figure 9b) by about 0.6 °C.
 375 Increasing $\overline{Q_{net}}$ provides more heat at the surface. So in stratified cases where H is con-
 376 fined to a comparatively shallow layer, this confinement reduces vertical heat flux diver-
 377 gence relative to unstratified cases, allowing more heat to be retained in the mixed layer
 378 and producing a larger ΔT_f . For initial MLDs between 5–8 m, the difference in verti-
 379 cal heat flux divergence between stratified and unstratified cases is maximized. For shall-
 380 lower initial MLDs, the stratified effective mixed layer (H) remains very shallow com-
 381 pared to the wind-driven trapping depth in unstratified cases, limiting the contrast in
 382 ΔT_f . For deeper initial MLDs, the effective MLD in stratified cases approaches the wind-
 383 driven trapping depth, making the vertical distribution of $F(H) \cdot Q_{SWR}$ similar and re-
 384 ducing the ΔT_f contrast. This impact of ΔS_b in this case is similar to the NBoB case
 385 (Figure 8b), thereby highlighting the role of $\overline{Q_{net}}$ in causing such contrasting effects of
 386 ΔS_b on ΔT_f .

387 Case-3 of the parameter space exploration further justifies the role of $\overline{Q_{net}}$. This
 388 case utilizes the Q_{cool} from case-1 with the $\overline{Q_{net}}$ of case-2 (achieved by increasing Q_{SWR}).
 389 Results mirror those of case-2 in trend, though absolute ΔT_f values differ (Figure 9c).
 390 This difference occurs because the simultaneous increase in both Q_{SWR} and Q_{cool} mod-

391 ifies the vertical heat flux divergence. Although more shortwave radiation is available
 392 at the surface, the larger cooling offsets part of this increase. Consequently, the verti-
 393 cal heat flux divergence difference between stratified and unstratified scenarios is some-
 394 what smaller in case-3 compared to case-2, reducing the ΔT_f enhancement due to salin-
 395 ity stratification. The depth-dependent impact of ΔS_b on ΔT_f in this case is consistent
 396 with case-2 as well.

397 We conduct an additional parameter space exploration (case-4) where the water’s
 398 optical properties are modified to allow deeper penetration of SWR (Figure 5c) while
 399 keeping all other surface forcing conditions similar to case-2. Comparison of results from
 400 case-4 and case-2 reveal that merely altering the optical properties leads to contrasting
 401 impacts of ΔS_b on ΔT_f . In case-4, salinity-stratified case warms less than non-salinity-
 402 stratified case by about 0.3°C (Figure 9d). This occurs because deeper penetration of
 403 SWR reduces the $F(H).Q_{SWR}$ term (equation 1), thereby increasing the vertical heat flux
 404 divergence for the stratified case. These idealized cases highlight the importance of sur-
 405 face heat fluxes and water’s optical properties in driving the contrasting effects of ΔS_b
 406 on ΔT_f .

407 Next, we investigate the impact of varying wind speeds on our simulations. As men-
 408 tioned earlier, wind drives unrestricted vertical mixing in non-salinity-stratified scenar-
 409 ios . On the other hand, mixing is suppressed for salinity-stratified cases and wind mainly
 410 controls the entrainment across the stratified layer (e.g., Dewey & Moum, 1990). For sim-
 411 plicity, we intentionally exclude the effect of changing wind speeds on Q_{cool} in these ide-
 412 alized cases, although this is considered later and the results are qualitatively similar.

413 For idealized scenarios where ΔT_f increases with increasing ΔS_b (such as case-2),
 414 the influence of salinity stratification is more pronounced at higher wind speeds than at
 415 lower wind speeds (Figure 10). This occurs because higher wind speeds enhance entrain-
 416 ment across the stratified interface in salinity-stratified cases, while in non-salinity-stratified
 417 scenarios they promote deeper vertical mixing throughout the water column, thereby in-
 418 tensifying the ΔT_f contrast. Conversely, at lower wind speeds, vertical mixing in both
 419 salinity-stratified and non-salinity-stratified cases occurs over similar depth scales (since
 420 the wind-driven trapping depth scale for 3 m s⁻¹ wind forcing is nearly 5.4 m, see Fairall
 421 et al., 1996b), leading to only small differences in vertical heat flux divergence and, con-
 422 sequently, a reduced contrast in the corresponding ΔT_f values.

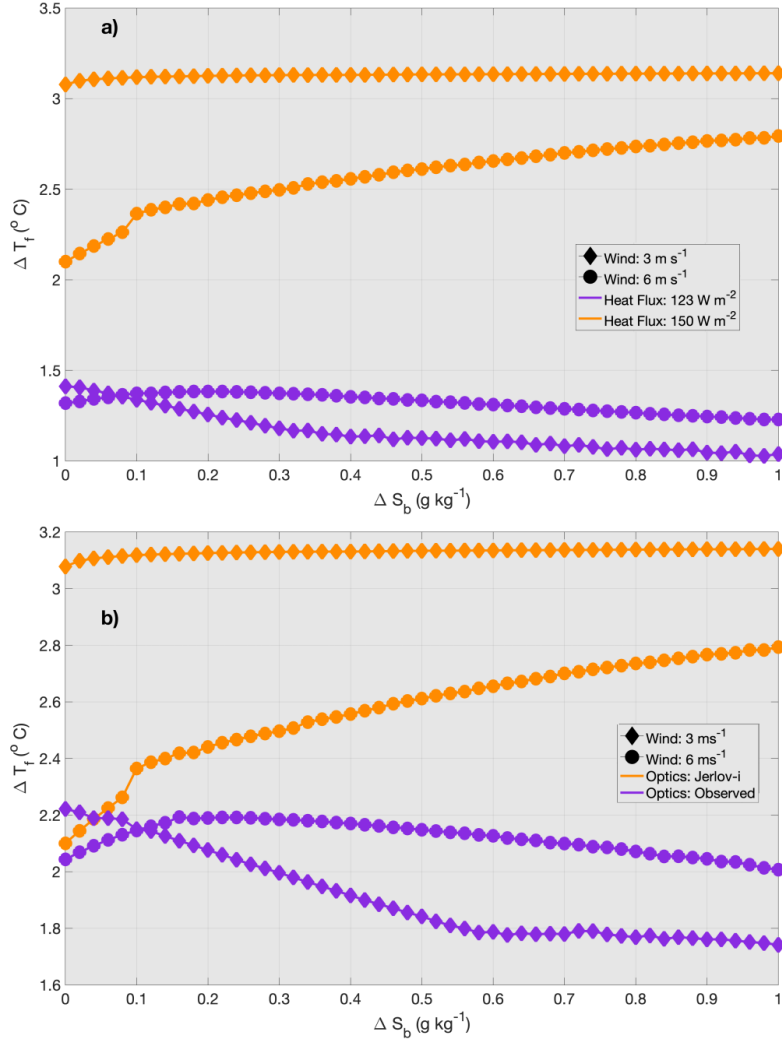


Figure 10. (a) ΔT_f over a 30-day period as a function of ΔS_b (x-axis) for an initial MLD=8 m for a diurnally varying clear sky day with daily $\overline{Q_{net}}$ of 123 (purple, Case-1) and 150 W m^{-2} (orange, Case-2), different wind speed scenarios (diamond and circle markers for 3 m s^{-1} and 6 m s^{-1} winds respectively). (b) is the same as (a) but for a daily $\overline{Q_{net}}$ of 150 W m^{-2} and different optical profiles (Jerlov-I in orange and observed optical profile in purple respectively, Case-2 and 4).

423 However, for idealized scenarios in which ΔT_f decreases with increasing ΔS_b (cases 1
 424 and 4), the influence of salinity stratification is more pronounced at lower wind speeds
 425 (Figure 10). Under weak wind forcing, stratification more effectively suppresses entrain-
 426 ment, limiting vertical exchange and reducing the net warming within the mixed layer.
 427 As wind speed increases, this contrast weakens and the response of ΔT_f to increasing

428 ΔS_b becomes less systematic. In some cases, ΔT_f initially increases with ΔS_b before de-
 429 creasing at larger stratification. This non-monotonic behavior reflects the competing roles
 430 of stratification and wind-driven entrainment, and highlights that the wind-speed depen-
 431 dence of ΔT_f cannot be described solely by the surface heat budget in equation 1, but
 432 instead involves additional dynamical effects associated with entrainment.

433 6 Crossover Heat Flux: Quantifying the ΔT_f vs ΔS_b Regime Shift

434 As previously discussed, the dependence of ΔT_f on ΔS_b is sensitive to $\overline{Q_{net}}$ (through
 435 diurnally varying Q_{SWR} and Q_{cool}), the optical properties of water as well as the effec-
 436 tive mixed layer depth (H). To mathematically identify the $\overline{Q_{net}}$ value at which this qual-
 437 itative shift occurs, we derive the crossover heat flux (Q_{cross}), defined as the $\overline{Q_{net}}$ value
 438 where the ΔT_f is equal for the non-salinity stratified and the salinity-stratified scenar-
 439 ios. Physically, Q_{cross} marks the threshold daily-mean net surface heat flux beyond which
 440 daytime heat absorption exceeds nighttime losses more effectively in stratified scenar-
 441 ios than in unstratified scenarios, leading to greater relative warming. When $\overline{Q_{net}}$ ex-
 442 ceeds Q_{cross} , the salinity-stratified scenario exhibits higher ΔT_f . Conversely, when $\overline{Q_{net}}$
 443 does not exceed Q_{cross} , the salinity-stratified scenario exhibits lower ΔT_f . The deriva-
 444 tion of Q_{cross} utilizes the simple 1-D heat budget equation described in equation 1.

$$445 \Delta T_f^{str} - \Delta T_f^{unstr} = \frac{F(H_{str}) \cdot \overline{Q_{SWR}} - \overline{Q_{cool}}}{\rho c_p H_{str}} - \frac{F(H_{unstr}) \cdot \overline{Q_{SWR}} - \overline{Q_{cool}}}{\rho c_p H_{unstr}} \quad (2)$$

446 The integral in equation 1 is replaced by daily mean (overbar) quantities for SWR (Q_{SWR})
 447 and cooling heat fluxes (Q_{cool}). We assume that the heat flux quantities are identical
 448 in both the non-salinity-stratified (labeled “unstr”) and salinity-stratified scenarios (la-
 449 beled “str”). The H_{str} and H_{unstr} represent the effective depths controlled by the shal-
 450 low salinity-stratification and deeper temperature-stratification/wind mixing respectively.
 451 Equating equation 2 to zero as to get $\Delta T_f^{str} = \Delta T_f^{unstr}$, yields a daily mean cooling
 452 heat flux expression as:

$$453 \overline{Q_{cool}} = \left[\frac{F(H_{str}) \cdot H_{unstr} - F(H_{unstr}) \cdot H_{str}}{H_{unstr} - H_{str}} \right] \overline{Q_{SWR}} \quad (3)$$

454 Using the expression for $\overline{Q_{cool}}$ in equation 3, we derive an expression for Q_{cross} as $\overline{Q_{SWR}} -$
 455 $\overline{Q_{cool}}$ (or the $\overline{Q_{net}}$ value where $\Delta T_f^{str} = \Delta T_f^{unstr}$), where H^* as the ratio between H_{unstr}
 456 and H_{str} :

$$457 Q_{cross} = \left[\frac{H^* [1 - F(H_{str})] - [1 - F(H_{unstr})]}{H^* - 1} \right] \overline{Q_{SWR}} \quad (4)$$

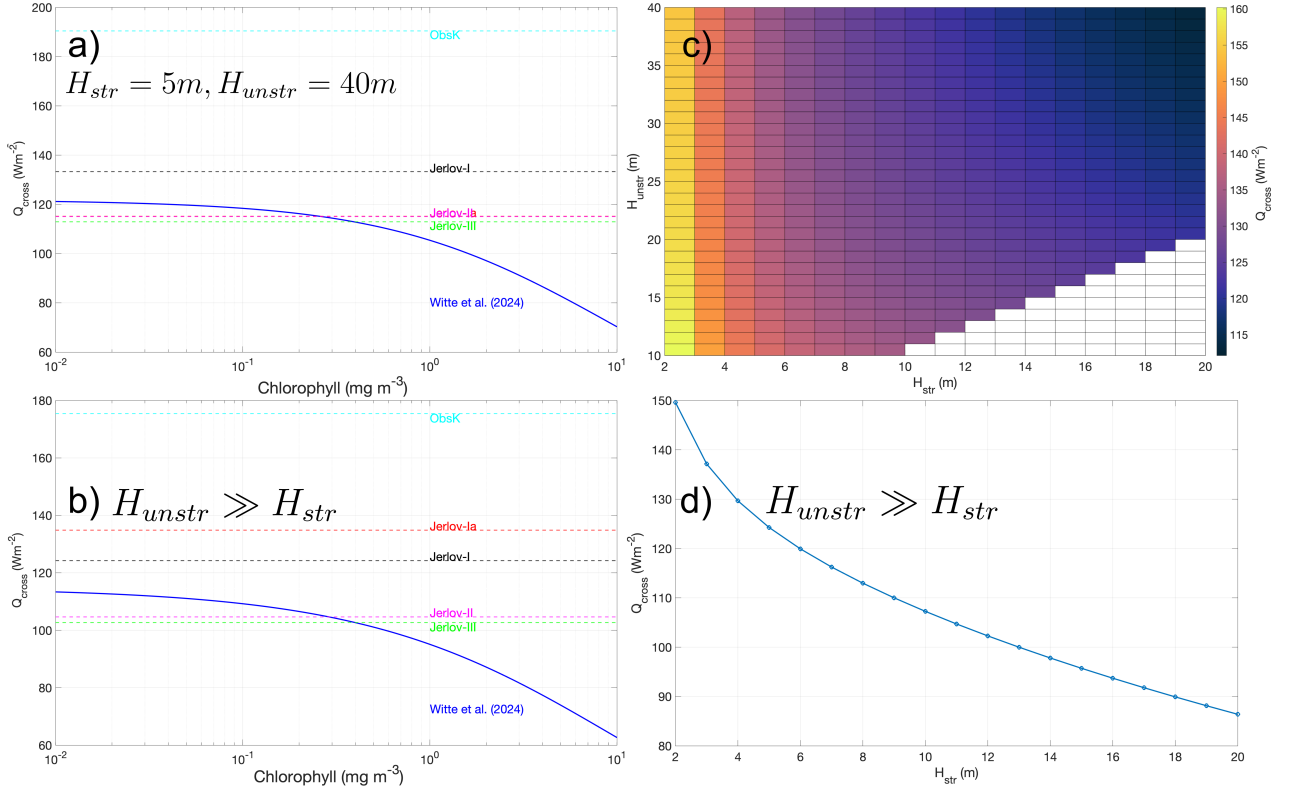


Figure 11. (a) Q_{cross} (in blue) as a function of Chlorophyll (log-scale in x-axis) based on optical profiles from Witte et al. (2024) for $H_{str}=5$ m and $H_{unstr}=40$ m. Colored horizontal lines represent Q_{cross} corresponding to different Jerlov water types (as described in Paulson & Simpson, 1977), and to an observed optical profile in the Bay of Bengal (light blue, Schlosser et al., 2022). Black, red, magenta, and green lines indicate Jerlov types I, Ia, II, and III, respectively. (b) is same as (a) for the special case where H_{unstr} is much larger than H_{str} (equation 5). (c) Q_{cross} as a function of H_{str} (x-axis) and H_{unstr} (y-axis) for Jerlov-I water type for a typical clear sky day in April (based on equation 4). (d) Q_{cross} as a function of H_{str} for Jerlov-I water type in the special case where H_{unstr} is much larger than H_{str} (equation 5).

458 We use equation 4 for a representative case in this study ($H_{str}=5$ m and $H_{unstr}=$
 459 40 m) to estimate Q_{cross} using both theoretical optical profiles (Paulson & Simpson, 1977)
 460 and observed optical profiles from the NBoB (Schlosser et al., 2022; Kerhalkar et al., 2025b).
 461 We also incorporate chlorophyll-dependent optical profile parameterizations based on Witte
 462 et al. (2024) to examine the influence of chlorophyll on Q_{cross} .

463 Our results show that for a typical clear sky day in April, Q_{cross} values derived us-
 464 ing theoretical optical profiles range between 115 to 135 $W m^{-2}$ (Figure 11a). Q_{cross} val-

465 ues for Jerlov-I water type is calculated to be around 135 W m^{-2} , compared to about
 466 190 W m^{-2} when derived for the NBoB observed optical profiles. This higher Q_{cross} value
 467 aligns with the NBoB observations of waters clearer than Jerlov-I at times (pers comm:
 468 Tamara Schlosser). Enhanced water clarity promotes deeper SWR penetration, which
 469 accounts for the higher derived Q_{cross} . Q_{cross} for chlorophyll-dependent optical profiles
 470 reveals a monotonic decrease with a nonlinear decay as chlorophyll increases, with val-
 471 ues ranging from 75 to 120 W m^{-2} (Figure 11a). For optical profiles corresponding to
 472 murkier waters (i.e., higher chlorophyll concentrations), Q_{cross} values are lower due to
 473 increased absorption of SWR within the MLD. Varying the values of H_{str} and H_{unstr}
 474 for Jerlov-I water type reveals Q_{cross} values ranging from 110 to 160 W m^{-2} (Figure 11c).
 475 Highest Q_{cross} values occur for shallower H_{str} . Conversely, Q_{cross} reaches its minimum
 476 when both H_{str} and H_{unstr} are deep.

477 A special limiting case occurs assuming that $H_{unstr} \gg H_{str}$. This represents a
 478 well-mixed scenario with an effectively infinitely deep mixed layer and pycnocline, when
 479 equation 4 simplifies to:

$$480 \quad Q_{cross} = [1 - F(H_{str})] \overline{Q_{SWR}} \quad (5)$$

481 The Q_{cross} values estimated using the simplified case of an infinitely deep unstratified
 482 mixed layer ($H_{unstr} \gg H_{str}$; equation 5) closely align with those from the full formu-
 483 lation discussed earlier (Figure 11b, 11d, Equation 4).

484 To verify the validity of the Q_{cross} formulation in Equation 4, we conducted a suite
 485 of 1-D simulations with varying stratified mixed layer depths (H_{str}), daily mean net heat
 486 flux ($\overline{Q_{net}}$), and wind speeds. The results show that Q_{cross} estimates from the simula-
 487 tions closely match the theoretical values from Equation 4 under low-wind conditions
 488 (Figure 12a). For 6 m s^{-1} wind speeds, however, the model-derived Q_{cross} deviates from
 489 the theoretical formulation by about $5\text{--}10 \text{ W m}^{-2}$ (Figure 12b), highlighting the weaker
 490 influence of entrainment on the Q_{cross} formulation in Equation 4. Importantly, the over-
 491 all trend of Q_{cross} from model simulations is consistent with the theoretical estimates.

492 The theoretical framework developed in this section not only highlights the unique
 493 roles of the initial upper ocean structure, water's optical properties, and surface heat fluxes
 494 in characterizing SST evolution and its spatial variability, but also offers a quantitative
 495 basis for interpreting how diurnal atmospheric processes imprint onto the ocean surface

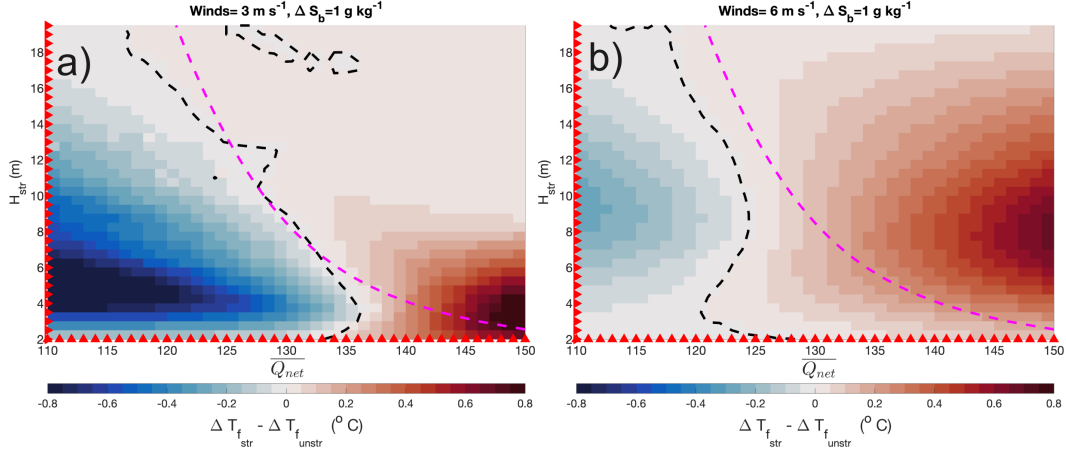


Figure 12. (a) Difference in ΔT_f over a 30-day period between a salinity-stratified scenario (varying H_{str} , $\Delta S_b = 1 \text{ g kg}^{-1}$) and an unstratified (or deeper-stratified) scenario ($H_{unstr} = 20 \text{ m}$, $\Delta S_b = 1 \text{ g kg}^{-1}$) as a function of daily mean net heat flux ($\overline{Q_{net}}$, x-axis) and H_{str} (y-axis) for Jerlov-I water type and wind speed of 3 m s^{-1} . The black dashed line indicates the contour where the difference in ΔT_f is zero (i.e., Q_{cross} from GOTM simulations), while the magenta dashed line indicates Q_{cross} from Equation 4. Red markers on the axes denote the parameter values used in the simulations. (b) Same as (a), except with wind speed of 6 m s^{-1} .

496 and accumulate into SST variability on longer time scales under varying stratification
 497 conditions.

498 7 Discussion

499 The results presented here highlight significant differences of $O(1^\circ\text{C})$ in foundational
 500 SST warming (ΔT_f) across intra-seasonal timescales and over mesoscale and smaller lengths
 501 in the Northern Indian Ocean. These variations reflect spatial differences in surface heat
 502 fluxes, wind speed, salinity stratification, and water optical properties, all of which are
 503 observed to vary across these scales (e.g., Moshonkin & Harenduprakash, 1991; Song et
 504 al., 2022; Nuijens et al., 2023; Shroyer et al., 2016; Kerhalkar et al., 2025b; McKie et al.,
 505 2024; Pimentel et al., 2019). The lateral gradients in SST evolution arise from the in-
 506 terplay between fast-moving atmospheric systems, which drive transient variability in
 507 winds and surface fluxes, and much slower-evolving salinity features. Whereas wind-driven
 508 variability produces short-lived flux gradients as weather systems pass, the persistence

509 of salinity-driven stratification generates more enduring lateral contrasts in SST and as-
 510 sociated air-sea fluxes across a range of spatial and temporal scales.

511 Idealized 1-D simulations forced with surface heat fluxes from in-situ moorings re-
 512 veal that $O(0.5^\circ\text{C})$ of the ΔT_f differences are attributable to the role of salinity strat-
 513 ification. Both stratified and unstratified scenarios warm in an absolute sense but dif-
 514 fer in relative heating. These simulations further show that when daily mean net heat
 515 flux ($\overline{Q_{net}}$) exceeds a critical threshold (Q_{cross}), the stratified conditions lead to stronger
 516 warming compared to unstratified cases. The opposite is true for daily mean net heat
 517 fluxes below Q_{cross} . This response contrasts with the influence of salinity stratification
 518 on diurnal SST amplitudes, which consistently increase by about $0.07\text{-}0.15^\circ\text{C}$ under strat-
 519 ified conditions regardless of the heat flux regime (Kerhalkar et al., 2025b). The ΔT_f
 520 differences described above also suggest a possible feedback on the surface heat fluxes
 521 (particularly the cooling component of heat fluxes) driven by salinity stratification, which
 522 is not accounted for in the above simulations. To explore this, we use 1-D GOTM in a
 523 one-way coupled configuration such that the model is forced with observed meteorolog-
 524 ical conditions. The model computes the fluxes internally based on the COARE algo-
 525 rithm (Fairall et al., 1996a) using the model-derived SSTs. Results from these coupled
 526 simulations confirm that the influence of salinity stratification on ΔT_f differences per-
 527 sists (Figure S4) and reveal that the resulting impact on surface heat fluxes are $O(10\text{ W m}^{-2})$
 528 (Figure S5).

529 While the physical mechanisms discussed here are robust, the magnitude of ΔT_f
 530 over intra-seasonal timescales is sensitive to vertical entrainment (e.g., Seager et al., 1988).
 531 Recent studies have shown that entrainment beneath the mixed layer and hence the SST
 532 is sensitive to the choice of the 1-D model/turbulence closure scheme (Johnson et al.,
 533 2023b). Because entrainment could potentially modify the mixed layer depth, Q_{cross} may
 534 be sensitive to the chosen mixing scheme and the temporal evolution of mixed layer (which
 535 is not captured as stratification in the GOTM does not change much during the simu-
 536 lation period). The use of the Mellor-Yamada mixing scheme within the GOTM frame-
 537 work (Mellor & Yamada, 1982), instead of the $k\text{-}\epsilon$ scheme, yielded similar $O(0.5^\circ\text{C})$ of
 538 the ΔT_f differences due to salinity stratification differences (Figure S6). Similarly, the
 539 Q_{cross} derived using Mellor-Yamada mixing scheme is comparable to that obtained from
 540 $k\text{-}\epsilon$ mixing scheme and agrees well with theoretical formulations (Figure S7).

541 Our discussions of Q_{cross} in this study are currently limited to clear sky conditions,
 542 reflecting typical conditions during the spring intermonsoon season in the NIO (Figure 2).
 543 However, the application of the derived formulation (equation 4 and 5) indicates that
 544 the values of Q_{cross} in the North Indian Ocean can range between 50-150 W m^{-2} depend-
 545 ing on the time of the year, cloud cover conditions in the region for Bay of Bengal and
 546 Arabian Sea (Figure S8) H_{str} and H_{unstr} of 5 and 40 m respectively and Jerlov-I wa-
 547 ter type (Paulson & Simpson, 1977). Comparison of Q_{cross} with observed daily mean
 548 net heat flux ($\overline{Q_{net}}$) at AD08 and ASIRI moorings shows that the latter exceeds Q_{cross}
 549 11.6% of the time in SEAS (AD08) and 21.4% at the ASIRI mooring in NBoB over the
 550 observation period (Figure S8). During February–May (mini–warm pool formation), these
 551 probabilities are 10.8% at SEAS (AD08) and 38% at NBoB (ASIRI). Allowing for a 5 W m^{-2}
 552 uncertainty in Q_{cross} due to vertical entrainment (see Section 6) increases the exceedance
 553 frequencies to 15.7% and 26% for SEAS and NBoB respectively (period average), and
 554 to 15.8% and 48% during the mini–warm pool months in SEAS and NBoB respectively
 555 (Figure S8). Using fluxes from the MERRA-2 reanalysis for 2015 (Global Modeling and
 556 Assimilation Office (GMAO), 2015) in the Northern Indian Ocean, we find that the prob-
 557 abilities of $\overline{Q_{net}}$ exceeding Q_{cross} (Figure S9) are similar to those reported from in-situ
 558 observations, with clear spatial variability in the probabilities.

559 Building on the earlier discussion of Q_{cross} , these results imply that in regions with
 560 prominent salinity fronts, differential ΔT_f across the front is expected. This could ei-
 561 ther enhance the density across the front or cause density compensation depending on
 562 the value of surface heat fluxes. However, these dynamics are likely to be influenced by
 563 unresolved processes such as mesoscale advection and submesoscale frontal activity, which
 564 are not represented in our 1-D framework (e.g., Buckley et al., 2020; Jaeger & Mahade-
 565 van, 2018; Kerhalkar et al., 2025a).

566 While freshwater fronts in the Indian Ocean are primarily driven by riverine input,
 567 the freshwater is typically turbid. Hence, the impacts of such fronts should ideally be
 568 studied as a coupled effect of salinity and optical properties. In this study, however, we
 569 do not consider this coupling, in order to highlight and isolate the role of salinity strat-
 570 ification. Additionally, the optical profile from NBoB used in this study to illustrate the
 571 role of optics (case-4 in Section-5 of this paper) is based on observations in the Bay of
 572 Bengal during July 2019 (i.e. the Monsoon season) and does not specifically represent

573 the water’s optical properties during the spring intermonsoon season, nor the turbid con-
 574 ditions associated with riverine input.

575 The resulting differences in SST evolution and upper-ocean heat distribution, driven
 576 by both salinity stratification and optical properties, are particularly relevant for the North
 577 Indian Ocean. Given the tight coupling between marine atmospheric boundary layer (MABL)
 578 and the ocean boundary layer (e.g., Seo et al., 2023; Sullivan et al., 2020, 2021), SST fronts
 579 introduced as a result can influence atmospheric convection, generate secondary circu-
 580 lations, and modulate other MABL characteristics on intra-seasonal timescales (Skylingstad
 581 et al., 2007). The resulting MABL adjustments subsequently modulate SST variability
 582 and upper ocean thermal structure. Such variability during the pre-monsoon heating pe-
 583 riods examined here is critical for driving key air-sea interaction processes, including trop-
 584 ical cyclone development (Vinayachandran et al., 2007), and for affecting monsoon fore-
 585 casts across sub-seasonal to seasonal timescales (Krishnamurti et al., 2007). Understand-
 586 ing these processes requires close examination of interactions between the ocean and at-
 587 mospheric boundary layers, highlighting the importance of accounting for fine-scale bio-
 588 physical interactions in sub-seasonal and seasonal forecasting.

589 **8 Conclusion**

590 The northern Indian Ocean experiences strong upper ocean heating during the spring
 591 intermonsoon season that precedes the monsoon (Figure 1). Over 90% of the days dur-
 592 ing this period show a net heat gain by the ocean (Figure 2). However, remote sensing
 593 observations reveal that this heating is spatially heterogeneous, with intra-seasonal (15–60
 594 day) differences in T_f warming rates of $O(1^\circ\text{C})$ across mesoscale and smaller lengths ($<$
 595 100 km). These variations are accompanied by lateral differences in surface wind speeds
 596 and salinity of $O(2 \text{ m s}^{-1})$ and $O(2 \text{ g kg}^{-1})$ respectively (Figure 3). Argo float profiles
 597 further indicate variability in mixed layer depths of $O(25 \text{ m})$ across the region. All of
 598 the above mentioned factors can contribute to the spatial variability in the surface heat-
 599 ing rates as well as air-sea heat flux values on intra-seasonal timescales.

600 To evaluate the role of salinity stratification in modulating these gradients, we con-
 601 ducted a suite of 1-D simulations. Simulations forced with realistic surface fluxes from
 602 mooring records in the northern Indian Ocean, reveal $0.2\text{-}0.5^\circ\text{C}$ differences in ΔT_f change
 603 over 14-21 days due to salinity stratification differences (Figure 6- 8). This variability

604 is evident only for cases with shallow initial mixed layers (< 14 m). Interestingly, the
 605 role of salinity stratification on the ΔT_f is contrasting; for the Arabian Sea scenario, the
 606 stratified case warms comparatively less than the unstratified case (Figure 7, 8a), whereas
 607 for the Bay of Bengal, the stratified case have higher or lower warming rates than the
 608 unstratified case at times (Figure 6, 8b, S1, S2, S3). To further investigate this contrast,
 609 we conduct idealized simulations with constant wind speeds, diurnally varying shortwave
 610 radiation (for a clear-sky day scenario), constant cooling components of heat fluxes and
 611 optical properties of water (Figure 5d, Table 1). Our results demonstrate that relative
 612 warming in stratified case when compared to unstratified case is sensitive to the net sur-
 613 face heat flux and optical properties of water. Under higher daily mean net heat flux and
 614 more turbid scenarios, the stratified cases warm comparatively more than the unstrat-
 615 ified case (Figure 9, 10). This suggests that in regions with strong salinity fronts, ele-
 616 vated heat fluxes and turbidity can enhance density contrasts across the front, which is
 617 more pronounced under higher wind speeds. In contrast, the initial salinity front may
 618 become partially density-compensated due to comparatively reduced warming in the strat-
 619 ified case than unstratified during lower daily mean net heat flux or clearer water con-
 620 ditions. This particular effect is pronounced under lower wind speeds.

621 Finally, we derive an analytical expression for crossover heat flux (Q_{cross}), defined
 622 as the heat flux at which stratified and unstratified scenarios have an equal ΔT_f . When
 623 the daily mean net heat flux values exceeds Q_{cross} , presence of salinity stratification causes
 624 comparatively more surface warming than in unstratified cases. Q_{cross} is found to be a
 625 function of water's optical properties, initial mixed layer depth for stratified and unstrat-
 626 ified cases and the daily mean shortwave radiation. For a representative case of mixed
 627 layer depths of 5 m and 40 meter for stratified and unstratified cases respectively un-
 628 der clear sky conditions with peak shortwave radiation of 1000 W m^{-2} , Q_{cross} vary be-
 629 tween 110 W m^{-2} (for Jerlov-III water type) and 135 W m^{-2} (for Jerlov-I water type,
 630 Figure 11a, 11c). Assuming an infinitely deep mixed layer for the unstratified case, the
 631 above Q_{cross} values change to 103 W m^{-2} and 125 W m^{-2} respectively (Figure 11b, 11d).
 632 The theoretical formulation of Q_{cross} is supported by 1-D model simulations, showing
 633 close agreement under low-wind conditions and consistent overall trends across turbu-
 634 lence closure schemes (Figure S6,S7). Additionally, the crossover flux values have a strong
 635 dependency on the optical absorption properties. The range of Q_{cross} values and the ob-
 636 served daily mean net heat fluxes helps to clarify the role of salinity stratification on dif-

637 ferent outcomes of surface warming observed in our simulation results, underscoring the
638 unique role of bio-physical coupling in upper ocean heating. The differences in SST evo-
639 lution and upper ocean heat distribution due to the role of salinity stratification and op-
640 tical properties highlighted in this study could have potential impacts on tropical cyclone
641 and monsoon forecasts.

642 **Open Research Section**

643 The NIOT mooring data are available at: <http://do.incois.gov.in/> and were
644 obtained through a request to the Indian National Centre for Ocean Information Ser-
645 vices (INCOIS). The WHOI mooring data from 2015 can be accessed at [http://uop.whoi](http://uop.whoi.edu/projects/Bengal/QCData.html)
646 [.edu/projects/Bengal/QCData.html](http://uop.whoi.edu/projects/Bengal/QCData.html). SMAP and OISST satellite data were obtained
647 from www.remss.com. ARGO data were obtained using ARGOPY python library (Maze
648 & Balem, 2020). Simulations were performed using version 6.0 of the General Ocean Tur-
649 bulence Model (GOTM), available at <https://gotm.net/portfolio/>.

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